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# Master the 8-K

by **Gary M. Brown**

*Master the 8-K* is the first publication from PLI's new SEC Compliance and Disclosure series. It is a clear, concise, and comprehensive guide to filing the SEC's Current Report on Form 8-K that every securities lawyer will want to have close at hand. The book begins with an overview of the Form 8-K requirements and principles generally applicable to all Form 8-K Items, such as:

- Filing procedures and deadlines;
- The impact of late filings, availability of the "safe harbor" for late-filed 8-Ks, and related liability issues;
- The "furnished" versus "filed" distinction and the technical aspects of incorporation by reference and exhibits;
- And much more.

In this easy-to-use book, each Form 8-K Item is then broken down and begins with "highlights" that, at a glance, alert you to critical matters about that Item, such as whether the "safe harbor" applies, whether there are exhibit requirements, whether there are possible update requirements, and whether there are critical definitions to consider. Then, you will find step-by-step instructions and expert practice tips on:

- Triggering events and disclosure requirements for every Form 8-K Item;
- Possible exceptions to the Form 8-K filing requirements;
- How to avoid potential "traps"; and
- SEC Staff Guidance.

*Master the 8-K* also includes sample disclosure language, and detailed appendices containing the Form 8-K itself, key regulations, and SEC Compliance and Disclosure Interpretations (C&DIs)—it is intended to be a stand-alone resource for those attempting to master the Form 8-K.

Thank you for purchasing *Master the 8-K*. If you have questions about this product, or would like information on our other products, please contact customer service at [info@pli.edu](mailto:info@pli.edu) or at (800) 260-4PLI.

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