CON Prog Chic	pared for distribution at the MPLIANCE & ETHICS ESSENTIALS 2025 gram cago and Live Webcast, April 28–29, 2025 y York City and Live Webcast, June 26–27, 2025
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1.	The Evolution of the Law of Corporate Compliance in the United States: An Overview (October 2024)
2.	Steven Gyeszly and Rebecca Walker, Strategic Toolkit to Maximize the Value of Board Oversight of Compliance & Ethics ("C&E"), available at: <a href="https://ideasandanswers.com/enhancing-board-oversight-of-compliance-programs-a-strategic-guide-for-directors/">https://ideasandanswers.com/enhancing-board-oversight-of-compliance-programs-a-strategic-guide-for-directors/</a> Submitted by: Rebecca Walker Kaplan & Walker LLP
3.	Board Resolutions: Strengthening Compliance Programs, Compliance and Ethics: Ideas & Answers (January 29, 2024), available at: <a href="https://ideasandanswers.com/board-resolutions-strengthening-compliance-programs/">https://ideasandanswers.com/board-resolutions-strengthening-compliance-programs/</a>
4.	Board Oversight, Compliance and Ethics: Ideas & Answers (September 25, 2023), available at: <a href="https://ideasandanswers.com/ia-board-oversight/">https://ideasandanswers.com/ia-board-oversight/</a>

5.	U.S. Securities and Exchange Commission, Commissioner Hester M. Pierce, Statement, Chief Compliance Officer Liability: Statement on In the Matter of Hamilton Investment Counsel LLC and Jeffrey Kirkpatrick (July 1, 2022), available at: <a href="https://www.sec.gov">www.sec.gov</a>
6.	Swipe Right for Compliance: Finding the Perfect CCO, Compliance and Ethics: Ideas & Answers (April 2024), available at: <a href="https://ideasandanswers.com/compliance-and-ethics-articles/">https://ideasandanswers.com/compliance-and-ethics-articles/</a> 145 Rebecca Walker Kaplan & Walker LLP
7.	Quick but Never Dirty Risk Mapping, Compliance and Ethics: Ideas & Answers (October 9, 2023), available at: <a href="https://ideasandanswers.com/quick-but-not-dirty-risk-mapping/">https://ideasandanswers.com/quick-but-not-dirty-risk-mapping/</a>
8.	Kwamina Thomas Williford, Ch. 4: Risk Assessment and Gap Analysis, Practising Law Institute, Corporate Compliance Answer Book (2024 Edition) (October 2023)161
9.	Policy Governance: Which Compliance Policies Should be Enterprise-Wide in Scope? Compliance and Ethics: Ideas & Answers (May 8, 2023), available at: <a href="https://ideasandanswers.com/policy-governance-which-compliance-policies-should-be-enterprise-wide-in-scope/">https://ideasandanswers.com/policy-governance-which-compliance-policies-should-be-enterprise-wide-in-scope/</a>
10.	Steven D. Gordon, Ch. 2: Implementation of an Effective Compliance and Ethics Program, Practising Law Institute, Corporate Compliance Answer Book (2024 Edition) (October 2023)
11.	U.S. Department of Justice, Criminal Division, Evaluation of Corporate Compliance Programs (Updated September 2024)

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13.	Root Cause Analysis: Driving Continuous Improvement, Compliance and Ethics: Ideas & Answers (February 3, 2025), available at: <a href="https://ideasandanswers.com/root-cause-analysis-driving-continuous-improvement">https://ideasandanswers.com/root-cause-analysis-driving-continuous-improvement</a> Rebecca Walker Kaplan & Walker LLP	277
14.	Effective Root Cause Analysis of Compliance Violations, Toolkit, Compliance and Ethics: Ideas & Answers, available at: <a href="https://ideasandanswers.com/root-cause-analysis-driving-continuous-improvement">https://ideasandanswers.com/root-cause-analysis-driving-continuous-improvement</a> Rebecca Walker  Kaplan & Walker LLP	287
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